



OFFICE OF THE VICE CHANCELLOR FOR RESEARCH  
119 CALIFORNIA HALL #1500

BERKELEY, CALIFORNIA 94720-1500

January 31, 2014

ASSISTANT VICE CHANCELLOR – RESEARCH ADMINISTRATION AND COMPLIANCE

Designation of Authority 0666 – Expenditures Against Firm Commitments Under Contracts and Grants

In accordance with the redelegation of authority from President Saxon to Chancellor Berdahl, and Chancellor Berdahl's redelegation to the Vice Chancellor for Research dated October 15, 1999, I redelegate to the position of Assistant Vice Chancellor – Research Administration and Compliance, the authority to permit expenditures or commitments of funds against any approved research, training, or development contract or grant when a fully executed contract is in hand or a written notice of the grant has been received. Attached for your information is President Saxon's initial letter of delegation, dated April 14, 1980, which further clarifies the circumstances in which such expenditures are permitted in the absence of the aforementioned documentation.



Graham Fleming  
Vice Chancellor for Research

Enc.



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This redelegation is effective immediately and may not be further redelegated without my concurrence.



Graham Fleming  
Vice Chancellor for Research

## DELEGATION OF AUTHORITY

Title: Expenditures Against Firm Commitments Under  
Contracts and Grants

Authority Delegated to: Chancellors  
Vice President--Agriculture and  
University Services

Source of Delegation: Saxon letter of 4/14/80

Effective Date of Delegation: 4/14/80

Delegation

Delegates authority\* to permit expenditures against contracts and grants or against firm commitments thereon, provided that the contracts and grants have been solicited or negotiated in accordance with established Regental policy and subject to specified conditions. This authority may not be redelegated.

\*Standing Order 100.4(m).

Supersedes 9/6/66 delegation to Chancellors and Vice Presidents and President Hitch's related letter of 12/3/69.

UNIVERSITY OF CALIFORNIA SYSTEMWIDE ADMINISTRATION

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Office of the President

BERKELEY, CALIFORNIA 94720

August 26, 1980

CHANCELLORS  
VICE PRESIDENT--AGRICULTURE AND UNIVERSITY SERVICES

Dear Colleagues:

Re: Amendment to April 14, 1980 Delegation of Authority--Expenditures  
Against Firm Commitments Under Contracts and Grants

Following consideration and review of campus comments and procedures in connection with the above delegation, it appears that the current restriction on redelegation of your authority to permit expenditures against firm commitments under contracts and grants creates unnecessary administrative difficulty. However, because the substance of the April 14, 1980 delegation remains the same, instead of reissuing the delegation I ask that the last sentence, which restricts redelegation, be deleted and the following inserted:

Redelegation of this authority shall be in writing with copies provided to the Vice President--Financial and Business Management, the Assistant President--Coordination and Review, and the Secretary of The Regents.

Sincerely,

David S. Saxon  
President

cc: Members, President's Administrative Council  
Assistant Vice President Levin  
Coordinator Mears  
Principal Officers of The Regents

INTERNAL CORRESPONDENCE

UNIVERSITY OF CALIFORNIA SYSTEMWIDE ADMINISTRATION

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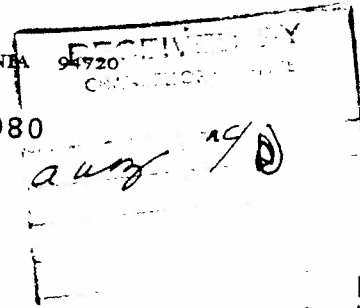
Office of the President

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ACTING PRESIDENT  
Info to mm

BERKELEY, CALIFORNIA

April 14, 1980



CHANCELLORS  
VICE PRESIDENT--AGRICULTURE AND  
UNIVERSITY SERVICES

Re: Delegation of Authority--Expenditures Against Firm  
Commitments Under Contracts and Grants

Section 100.4(m) of the Standing Orders of The Regents delegates to the President the authority to permit expenditures against contracts and grants or against firm commitments thereon, provided that the contracts and grants have been solicited or negotiated in accordance with established Regental policy.

I am hereby delegating to you the authority to permit expenditures or commitments of funds against any approved research, training, or development contract or grant when a fully executed contract is in hand or a written notice of grant award has been received or, in the absence of such documentation, when (a) the contract or grant is within the solicitation authority previously delegated to you and you have satisfied yourself that a firm commitment exists to reimburse the University for the amount of its own funds advanced, and (b) there is an essential need to advance or commit funds (which normally means to pay salaries or meet other expenses of a continuing project).

For guidance in interpreting what constitutes a "firm commitment," General Counsel has provided the following:

While firm commitment has no legal definition, it is obviously something less than a contract. The phrase is applicable to situations where University personnel are advised by representatives of Government agencies that a contract or grant will be forthcoming, and the only delay is a ministerial one of document processing. An agency representative making such a representation must be one who can be relied on for such representation.

This delegation is effective immediately and supersedes the September 6, 1966 delegation on the same subject and President Hitch's related letter of December 3, 1969. This authority may not be redelegated.

David S. Saxon  
President

cc: Members, President's Administrative Council

INTERNAL CORRESPONDENCE